# Colorado Liquor <br> Retail License Application 

| $\square$ New License |  | Transfer of Ownership $\quad \square$ State Property Only |  |  |
| :---: | :---: | :---: | :---: | :---: |
| - All answers must be printed in black ink or typewritten <br> - Applicant must check the appropriate box(es) <br> - Applicant should obtain a copy of the Colorado Liquor and Beer Code: www.colorado.gov/enforcement/liquor |  |  |  |  |
| 1. Applicant is applying as a/an $\square$ Individual <br> $\square$ Corporation $\square$ Limited Liability Company <br> $\square$ Partnership (includes Limited Liability and Husband and Wife Partnerships) $\square$ Association or Other |  |  |  |  |
| 2. Applicant If an LLC, name of LLC; if partnership, at least 2 partner's names; if corporation, name of corporation |  |  |  | FEIN Number |
| 2a. Trade Name of Establishment (DB |  |  | State Sales Tax Number | Business Telephone |
| 3. Address of Premises (specify exact location of premises, include suite/unit numbers) |  |  |  |  |
| City |  | County | State | ZIP Code |
| 4. Mailing Address (Number and Stre |  | City or Town | State | ZIP Code |
| 5. Email Address |  |  |  |  |
| 6. If the premises currently has a liquor or beer license, you must answer the following questions |  |  |  |  |
| Present Trade Name of Establishment (DBA) |  | Present State License Number Present Class of License |  | Present Expiration Date |
| Section A | Nonrefundable Application Fees ${ }^{\text {S }}$ Section B (Cont.) |  |  | Liquor License Fees |
| $\square$ Application Fee for New License............................................ $\$ 1950.00$$\square$ Application Fee for New License w/Concurrent Review ............................................................................. |  |  |  |  |
| Section B Liquor License Fees |  |  |  |  |
|  |  |  |  |  |
| Questions? Visit: www.colorado.gov/enforcement/liquor for more information |  |  |  |  |
| Do not write in this space - For Department of Revenue use only |  |  |  |  |
| Liability Information |  |  |  |  |
| License Account Number | Liability Date ${ }^{\text {License Issu }}$ | ed Through (Expira | F\|ion Date) $\begin{aligned} & \text { Total } \\ & \$\end{aligned}$ |  |

## Application Documents Checklist and Worksheet

Instructions: This checklist should be utilized to assist applicants with filing all required documents for licensure. All documents must be properly signed and correspond with the name of the applicant exactly. All documents must be typed or legibly printed. Upon final State approval the license will be mailed to the local licensing authority. Application fees are nonrefundable.

Questions? Visit: www.colorado.gov/enforcement/liquor for more information

| Items submitted, please check all appropriate boxes completed or documents submitted |  |
| :---: | :---: |
| I. | Applicant information A. Applicant/Licensee identified B. State sales tax license number listed or applied for at time of application C. License type or other transaction identified D. Return originals to local authority E. Additional information may be required by the local licensing authority F. All sections of the application need to be completed |
| II. | Diagram of the premises <br> A. No larger than $81 / 2^{\prime \prime} \times 11^{\prime \prime}$ B. Dimensions included (does not have to be to scale). Exterior areas should show type of control (fences, walls, entry/exit points, etc.) C. Separate diagram for each floor (if multiple levels) D. Kitchen - identified if Hotel and Restaurant E. Bold/Outlined Licensed Premises |
| III. | Proof of property possession (One Year Needed) A. Deed in name of the applicant (or) (matching question \#2) date stamped / filed with County Clerk B. Lease in the name of the applicant (or) (matching question \#2) C. Lease assignment in the name of the applicant with proper consent from the Landlord and acceptance by the Applicant D. Other agreement if not deed or lease. (matching question \#2) (Attach prior lease to show right to assumption) |
| IV. | Background information and financial documents A. Individual History Records(s) (Form DR 8404-I) B. Fingerprints taken and submitted to local authority (State Authority for Master File applicants) C. Purchase agreement, stock transfer agreement, and/or authorization to transfer license D. List of all notes and loans (Copies to also be attached) |
| V. | Sole proprietor/husband and wife partnership (if applicable) A. Form DR 4679 B. Copy of State issued Driver's License or Colorado Identification Card for each applicant |
| VI. | Corporate applicant information (if applicable) A. Certificate of Incorporation date stamped by the Colorado Secretary of State's Office B. Certificate of Good Standing C. Certificate of Authorization if foreign corporation D. List of officers, directors and stockholders of applying corporation (If wholly owned, designate a minimum of one person as principal officer of parent) |
|  | Partnership applicant information (if applicable) A. Partnership Agreement (general or limited). Not needed if husband and wife <br> B. Certificate of Good Standing (If formed after 2009) |
|  | Limited Liability Company applicant information (if applicable) A. Copy of articles of organization (date stamped by Colorado Secretary of State's Office) B. Certificate of Good Standing C. Copy of operating agreement D. Certificate of Authority if foreign company |
|  | Manager registration for Hotel and Restaurant, Tavern and Lodging \& Entertainment licenses when included with this application A. $\$ 75.00$ fee B. Individual History Record (DR 8404-I) C. If owner is managing, no fee required |

8. Has the applicant (including any of the partners if a partnership; members or managers if a limited liability company; or officers, stockholders or directors if a corporation) or managers ever (in Colorado or any other state):
(a) Been denied an alcohol beverage license?
(b) Had an alcohol beverage license suspended or revoked?
(c) Had interest in another entity that had an alcohol beverage license suspended or revoked?

If you answered yes to $8 \mathrm{a}, \mathrm{b}$ or c , explain in detail on a separate sheet.
9. Has a liquor license application (same license class), that was located within 500 feet of the proposed premises, been denied within the preceding two years? If "yes", explain in detail.
10. Are the premises to be licensed within 500 feet, of any public or private school that meets compulsory education requirements of Colorado law, or the principal campus of any college, university or seminary?

Waiver by local ordinance? Other:
11. Is your Liquor Licensed Drugstore (LLDS) or Retail Liquor Store (RLS) within 1500 feet of another retail liquor license for off-premises sales in a jurisdiction with a population of greater than (>) 10,0000 ? NOTE: The distance shall be determined by a radius measurement that begins at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal doorway of the Licensed LLDS/RLS.
12. Is your Liquor Licensed Drugstore (LLDS) or Retail Liquor Store (RLS) within 3000 feet of another retail liquor license for off-premises sales in a jurisdiction with a population of less than (<) 10,0000? NOTE: The distance shall be determined by a radius measurement that begins at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal doorway of the Licensed LLDS/RLS.
13a. For additional Retail Liquor Store only. Was your Retail Liquor Store License issued on or before January 1, 2016?
13b. Are you a Colorado resident?
14. Has a liquor or beer license ever been issued to the applicant (including any of the partners, if a partnership; members or manager if a Limited Liability Company; or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any current financial interest in said business including any loans to or from a licensee.
15. Does the applicant, as listed on line 2 of this application, have legal possession of the premises by ownership, lease or other arrangement? $\square \square$ $\square$ Ownership $\square$ Lease $\square$ Other (Explain in Detail)
a. If leased, list name of landlord and tenant, and date of expiration, exactly as they appear on the lease:

Landlord
b. Is a percentage of alcohol sales included as compensation to the landlord? If yes, complete question 16.
c. Attach a diagram designates the area to be licensed in black bold outline (including dimensions) which shows the bars, brewery, walls, partitions, entrances, exits and what each room shall be utilized for in this business. This diagram should be no larger than $81 / 2^{\prime \prime} \mathrm{X} 11$ ".
16. Who, besides the owners listed in this application (including persons, firms, partnerships, corporations, limited liability companies) will loan or give money, inventory, furniture or equipment to or for use in this business; or who will receive money from this business? Attach a separate sheet if necessary.

| Last Name | First Name | Date of Birth | FEIN or SSN | Interest/Percentage |
| :--- | :--- | :--- | :--- | :--- |
| Last Name | First Name | Date of Birth | FEIN or SSN | Interest/Percentage |

Attach copies of all notes and security instruments and any written agreement or details of any oral agreement, by which any person (including partnerships, corporations, limited liability companies, etc.) will share in the profit or gross proceeds of this establishment, and any agreement relating to the business which is contingent or conditional in any way by volume, profit, sales, giving of advice or consultation.
17. Optional Premises or Hotel and Restaurant Licenses with Optional Premises:

Has a local ordinance or resolution authorizing optional premises been adopted?
Number of additional Optional Premise areas requested. (See license fee chart)
18. Liquor Licensed Drugstore (LLDS) applicants, answer the following:
(a) Is there a pharmacy, licensed by the Colorado Board of Pharmacy, located within the applicant's LLDS premise? If "yes" a copy of license must be attached.
19. Club Liquor License applicants answer the following: Attach a copy of applicable documentation
(a) Is the applicant organization operated solely for a national, social, fraternal, patriotic, political or athletic purpose and not for pecuniary gain?
(b) Is the applicant organization a regularly chartered branch, lodge or chapter of a national organization which is operated solely for the object of a patriotic or fraternal organization or society, but not for pecuniary gain?
(c) How long has the club been incorporated?
(d) Has applicant occupied an establishment for three years (three years required) that was operated solely for the reasons stated above?
20. Brew-Pub, Distillery Pub or Vintner's Restaurant applicants answer the following:
(a) Has the applicant received or applied for a Federal Permit? (Copy of permit or application must be attached)
21. For all on-premises applicants.
a. Hotel and Restaurant, Lodging and Entertainment or Tavern License, the Registered Manager must also submit an Individual History Record

- DR 8404-I and fingerprints.
b. For all Liquor Licensed Drugstores (LLDS) the Permitted Manager must also submit an Manager Permit Application
- DR 8000 and fingerprints.

Last Name of Manager
First Name of Manager
22. Does this manager act as the manager of, or have a financial interest in, any other liquor licensed establishment in the State of Colorado? If yes, provide name, type of license and account number.
23. Tax Distraint Information. Does the applicant or any other person listed on this application including its partners, officers, directors, stockholders, members (LLC) or managing members (LLC) and any other persons with a $10 \%$ or greater financial interest in the applicant currently have an outstanding tax distraint issued to them by the Colorado Department of Revenue?
If yes, provide an explanation and include copies of any payment agreements.
24. If applicant is a corporation, partnership, association or limited liability company, applicant must list all Officers, Directors, General Partners, and Managing Members. In addition, applicant must list any stockholders, partners, or members with ownership of $10 \%$ or more in the applicant. All persons listed below must also attach form DR 8404-I (Individual History Record), and submit fingerprint cards to the local licensing authority.

| Name | Home Address, City \& State | DOB | Position | \%Owned |
| :--- | :--- | :--- | :--- | :--- |
| Name | Home Address, City \& State | DOB | Position | \%Owned |
| Name | Home Address, City \& State | DOB | Position | \%Owned |
| Name | Home Address, City \& State | DOB | Position | \%Owned |
| Name | Home Address, City \& State | Position |  |  |

** If applicant is owned $100 \%$ by a parent company, please list the designated principal officer on above.
** Corporations - the President, Vice-President, Secretary and Treasurer must be accounted for above (Include ownership percentage if applicable)
** If total ownership percentage disclosed here does not total $100 \%$, applicant must check this box:
$\square$ Applicant affirms that no individual other than these disclosed herein owns $10 \%$ or more of the applicant and does not have financial interest in a prohibited liquor license pursuant to Title 47 or 48, C.R.S.

## Oath Of Applicant

I declare under penalty of perjury in the second degree that this application and all attachments are true, correct, and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to comply with the provisions of the Colorado Liquor or Beer Code which affect my license.

| Authorized Signature | Printed Name and Title | Date |
| :--- | :--- | :--- |
| Report and Approval of Local Licensing Authority (City/County) |  |  |
| Date application filed with local authority | Date of local authority hearing (for new license applicants; cannot be less than 30 days from date of <br> application) |  |

The Local Licensing Authority Hereby Affirms that each person required to file DR 8404-I (Individual History Record) or a DR 8000 (Manager Permit) has been:Fingerprinted
$\square$ Subject to background investigation, including NCIC/CCIC check for outstanding warrants
That the local authority has conducted, or intends to conduct, an inspection of the proposed premises to ensure that the applicant is in compliance with and aware of, liquor code provisions affecting their class of license
(Check One)Date of inspection or anticipated date $\qquad$Will conduct inspection upon approval of state licensing authority
$\square$ Is the Liquor Licensed Drugstore (LLDS) or Retail Liquor Store (RLS) within 1,500 feet of another retail liquor license for off-premises sales in a jurisdiction with a population of $>10,0000$ ?
$\square$ Is the Liquor Licensed Drugstore(LLDS) or Retail Liquor Store (RLS) within 3,000 feet of another retail liquor license for off-premises sales in a jurisdiction with a population of < 10,0000?
NOTE: The distance shall be determined by a radius measurement that begins at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal doorway of the Licensed LLDS/RLS.
$\square$ Does the Liquor-Licensed Drugstore (LLDS) have at least twenty percent (20\%) of the applicant's gross annual income derived from the sale of food, during the prior twelve (12) month period?
The foregoing application has been examined; and the premises, business to be conducted, and character of the applicant are satisfactory. We do report that such license, if granted, will meet the reasonable requirements of the neighborhood and the desires of the adult inhabitants, and will comply with the provisions of Title 12, Article 46 or 47, C.R.S., and Liquor Rules. Therefore, this application is approved.

| Local Licensing Authority for | Telephone Number | $\square$ Town, City <br> $\square$ <br> $\square$ |  |
| :--- | :--- | :--- | :--- | :--- |
| Signature | Print | Title | Date |
| Signature | Print | Title | Date |

